

HEWLETT-PACKARD COMPANY

PATENT APPLICATION

Legal Department, 208N
P.O. Box 10301
Ft. Collins, Colorado 80501-0301

ATTORNEY DOCKET NO. 10970294-1

IN THE U.S. PATENT AND TRADEMARK OFFICE
Patent Application Transmittal Letter

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility () Design☒ original patent application,

() continuation-in-part application

INVENTOR(S): Cormac Herley

TITLE: OPPORTUNISTIC IMPROVEMENT OF DIGITAL CAMERA IMAGE QUALITY

Enclosed are:

☒ The Declaration and Power of Attorney. ☒ signed () unsigned or partially signed☒ 2 sheets of drawings (one set)

() Information Disclosure Statement and Form PTO-1449 () Associate Power of Attorney

() Priority document(s) () (Other) (fee \$)

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	9 — 20	0	X \$ 22	\$ 0
INDEPENDENT CLAIMS	3 — 3	0	X \$ 82	\$ 0
ANY MULTIPLE DEPENDENT CLAIMS	0		\$ 270	\$ 0
BASIC FEE: Design (\$330.00); Utility (\$790.00)				\$ 790
TOTAL FILING FEE				\$ 790
OTHER FEES				\$ 0
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 790

Charge \$ 790 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EM 155 890 471 US

Date of Deposit July 30, 1998

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By Nelia T. de Guzman

Typed Name: Nelia T. de Guzman

Respectfully submitted,

Cormac Herley

By Robert T. Martin

Robert T. Martin

Attorney/Agent for Applicant(s)

Reg. No. 32,426

Date: 07-30-98

Telephone No.: 650/857-7533

Opportunistic Improvement of Digital Camera Image Quality

Field of the Invention

This invention relates to the field of digital cameras, and more particularly, to the techniques used to store picture data in a fixed size storage medium.

Art Background

A typical digital camera system takes raw data from an image sensor, processes that data, and stores the resulting image in a digital memory device. Typical memory devices used in digital cameras for picture storage include rotating memory such as disks, or solid state memory such as Flash EEPROM, static, or dynamic RAM. The picture memory may be permanently mounted in the camera, or may be removable, for example in the form of a cartridge or card. The picture memory is typically of a fixed size, and is partitioned into a number of picture slots for storing a predetermined number of pictures. The raw image is usually quite large in comparison to the size of the picture memory. Typically a lossy compression scheme is used to process the raw image data prior to storage, trading off image detail for the ability to store more images in the picture memory. Compression ratios from 16 to 20 to 1 are common, allowing many images to be stored in the camera, while still maintaining good image quality.

For example, assume a camera is to store 20 images in a picture memory. Each image must therefore be compressed to take up 1/20th of the memory. Typically a scalar quantization lossy compression scheme such as JPEG is used for this purpose, with some form of rate control algorithm employed to ensure that each image, once compressed, indeed fits into its allotted space in the storage. As implied by the name, in a lossy compression scheme such as JPEG, image detail is lost in the compression process.

Generally there will be some variation in the compressed image sizes. For instance in the example given, each compressed image should be approximately 1/20th

of the size of the memory. Compression produces a smaller image that achieves the compromise between image quality and the size of the image data. As the user takes a picture, the raw image data is compressed and stored in the picture memory. When the user has completed taking a set of pictures, the compressed image data is transferred
5 to another device, and the space used by those images may be freed so that other image may be stored.

In the example given, after the user has taken one picture, approximately 1/20th of the picture memory is in use and image detail has been lost, even though
10 19/20ths of the picture memory is unused. After ten pictures have been taken, half the picture memory is in use, image detail has been lost on each of the images, and approximately half the picture memory is unused. What is desired is a way to opportunistically exploit the unused picture memory to provide higher quality images.

15 SUMMARY OF THE INVENTION

The present invention provides for higher quality digital images in a digital camera system when fewer than the maximum number of images permitted by the size of the picture memory have been taken. Image compression is performed to
20 produce a primary compressed data set and an auxiliary compressed data set. The primary compressed data set is sufficient to reproduce the image at a first quality level. The primary and auxiliary data sets when combined reproduce the image at a higher quality level. As images are taken and primary and auxiliary data sets formed, the primary data set is stored in picture memory. If storage space is available, the
25 auxiliary data set is also stored in the picture memory. When picture memory is filled by primary and auxiliary data sets, the space containing auxiliary data sets is reclaimed to store additional primary data sets. Thus, if the user takes less than the maximum number of images permitted by the device, at least some of the images will have improved quality when the image data is used.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is described with respect to particular exemplary
 5 embodiments thereof and reference is made to the drawings in which:

FIG. 1 shows a block diagram of a digital camera,
 FIG. 2 shows a uniform scalar quantizer of step size s ,
 FIG. 3 shows a uniform scalar quantizer of step size $s/2$, and
 10 FIG. 4 shows primary and auxiliary quantizers of step size s .

DETAILED DESCRIPTION

A typical digital camera is shown in block form in FIG. 1. Central processing
 15 unit (CPU) **100** transfers raw image data from image sensor **101** under control of
 programs contained in program memory **102**. If the image is a color image, it may
 first be transformed to some other color space than the space in which it was acquired.
 For example, it may be computationally more convenient to transform RGB data into
 luminance-chrominance data. This transform allows the luminance (Y) data to be
 20 compressed as in a monochrome image, while the chrominance (U) data may be
 compressed typically 15 to 20 times more than the luminance, resulting in additional
 savings. CPU **100** produces a primary compressed data set and an auxiliary
 compressed data set from the raw image data for storage in picture memory **103**. CPU
100 may be a general purpose processor, or may be a digital signal processor (DSP).
 25 It is also known in the art to perform image processing using a special purpose
 processor, such as a DSP or a dedicated hardware compression engine connected to a
 general purpose processor. Depending on the particular architecture, picture memory
103 may be a solid state memory such as flash EEPROM or RAM, or may be a
 rotating memory device such as a disc storage device. Not shown in this simplified
 30 diagram are items such as power supplies, user controls, and other input/output
 devices. The digital camera of FIG. 1 may be a unitary device, an image sensor
 connected to a dedicated processing subsystem, or may comprise an image sensing
 subsystem connected to a general purpose computer operating under the control of a
 specific program.

The present invention deals with the methods used for processing image data and forming compressed images. While the descriptions given are presented with respect to JPEG standard compression, they are applicable to other compression schemes using scalar quantization, such as Wavelets.

The JPEG compression algorithm commonly used for compressing color images consists of the following major steps:

- (1) Color transformation,
- 10 (2) DCT transformation,
- (3) Quantization of DCT coefficients, and
- (4) Run length and Huffman coding of quantized values.

JPEG compression is known in the art, and is described for example by G.K. Wallace in "The JPEG Still Picture Compression Standard, *Communications of the ACM*, Vol. 34, No. 4, April 1991, pp. 31-44.

As mentioned earlier, color image data may be transformed from one color space to another, for example from the RGB color space typically used by color image sensors to a chrominance-luminance space to achieve higher compression ratios. The image data is then transformed, using a transform such as the Discrete Cosine Transform (DCT). The DCT separates the image into parts or spectral sub-bands of differing importance. The DCT is similar to the Discrete Fourier Transform in that it transforms an image from the spatial domain to the frequency domain. DCT based image compression takes advantage of the fact that most of the energy in an image tends to be in the lower spatial frequencies. A useful introduction to the DCT and its use in image compression written by Dr. James F. Blinn is "What's the Deal with the DCT", *IEEE Computer Graphics and Applications*, July 1993, pp. 78-83.

For the purposes of this invention, step (3), quantizing the DCT coefficients is the key. It is this quantization process that introduces loss. The following compression step (4) is lossless in nature. As is known in the art, DCT coefficients are quantized, replacing a range of values with a single value, usually an integer, covering that range or step size. The step sizes used by the quantizer are different for different DCT

coefficients, the relative sizes set by a predefined matrix of quantization step sizes. Typical implementations use a single parameter, often called the Q-factor, to control the amount of compression. The Q-factor controls the step sizes in a linear fashion; it does not control the compression ratio directly; by increasing the step size, the compressed image size becomes smaller, but with increased loss of information.

The type of quantizer used for the DCT coefficients is shown in FIG. 2; known as a mid-thread scalar quantizer. All input values (along the horizontal axis) in the range $(-s/2, s/2)$ get represented as zero. All input values which have absolute value in the range $(s/2, 3s/2)$ are represented by s (along the vertical axis) as appropriate, and so on. Once quantization has been performed, we cannot reconstruct the lost information; for example, after quantization we cannot distinguish between values that are just larger than $s/2$ and those that are just smaller than $3s/2$. They are both quantized to the same value s and no further information is available.

If we used a quantizer with a step size half the size of that shown in FIG. 2 we would be able to reconstruct with twice the accuracy, as shown in FIG. 3. The penalty of course is that since the quantizer has twice as many output levels, one additional bit of accuracy, there is a lot more information to be encoded in step (4), and the size of the compressed data set is larger.

In a first embodiment of the present invention, a similar result to using a half size quantizer as shown in FIG. 3 is achieved using two quantizers. If the thresholds of the second quantizer subdivide the intervals of the first, then combining the outputs of both quantizers is as good as using a single quantizer with a smaller step size, as illustrated in FIG. 4. The first quantizer is the primary quantizer and produces the primary image. The second quantizer is the auxiliary quantizer and produces the auxiliary image. These are shown in FIG. 4. Note that in FIG. 4 all intervals of the primary quantizer (except the zero interval) are halved by the thresholds of the auxiliary quantizer. Thus, for example, if a DCT coefficient is in the interval $(s/2, 3s/2)$ the primary quantizer will quantize it to the level s . However, the auxiliary quantizer will quantize DCT coefficients that are less than s to 0, and values greater than s to s . Thus, during decoding, the outputs of the auxiliary quantizer, if they are available, allow us to refine our estimates of the quantized DCT coefficients, thereby

reconstructing the image with greater fidelity. Other approaches may also be used for the auxiliary quantizer, such as subdividing the intervals of the primary quantizer.

5 The use of the auxiliary quantizer results in a relatively small increase in complexity of the overall compression system. The DCT coefficients have already been calculated. They are quantized twice, by the primary and auxiliary quantizers, and the two sets of quantized coefficients encoded separately, producing the primary image and the auxiliary image. The encoding process for the quantized coefficients can involve Huffman coding, or other entropy coding methods.

10 Note particularly that the outputs of the primary and auxiliary quantizers differ only in their least significant bits. Therefore we need only store that least significant bit of the auxiliary quantizer's output, and not the entire output. Furthermore when a dead-zone quantizer such as the one shown in Fig. 4 is used, any coefficient that
15 quantizes to zero using the primary quantizer will also quantize to zero using the auxiliary quantizer. Thus with the addition of run length encoding to the outputs of the primary quantizer, runs of zeros for the primary quantizer correspond to runs of zeros for the auxiliary quantizer, and only one extra bit per non-zero coefficient output from the primary quantizer is required to uniquely define the output of the
20 auxiliary quantizer. Typically, the number of bits required for the auxiliary quantizer, can be on the order of 15% to 18% the size of the primary quantizer's output.

 It is also possible to refine the zero interval in the auxiliary quantizer, since this interval is the only interval of the auxiliary quantizer that is not refined when a
25 dead zone quantizer is used. This entails using extra bits in the auxiliary bitstream that determine which subinterval of the zero interval of the primary quantizer the DCT coefficient lies. A variety of entropy coding techniques known to the art can be employed to efficiently represent this information.

30 A second embodiment of the quantizing process uses a single quantizer with half the final step size, such as the $s/2$ quantizer shown in Fig. 3. The least significant bit of the quantizer output becomes the auxiliary data stream, with the remaining bits forming the primary data stream. In this embodiment, when a particular value of the primary data stream is nonzero, the corresponding auxiliary data bit is incorporated

into the data stream. In the case where the primary value is zero, not every auxiliary data bit may be incorporated, as this would greatly increase the size of the auxiliary image. Instead, an approach such as rate control must be incorporated, to make selective use of the auxiliary data bits.

5

Processing the image data according to the present invention therefore produces a primary image, and a smaller auxiliary image. In storing the resulting images, the picture memory initially starts out empty when no pictures have been taken. Referring back to Fig. 1, as pictures are taken, the primary image is stored in picture memory 103 in a primary image slot 104. If space is available, the auxiliary image is also stored in picture memory 103 in auxiliary image slot 105. As shown, auxiliary image slots 105 may be obtained by subdividing primary image slots 104. This process continues as further pictures are taken until insufficient free space remains to store additional primary images. As further pictures are taken beyond this point, only the primary images are stored, reclaiming used auxiliary image slots 105. Since the auxiliary images typically take up less space than a primary image, on the order of 15 to 20 percent as much space, auxiliary images will typically be reclaimed in blocks in order to recover sufficient storage for an additional primary image. For example, assume a digital camera is designed to hold 20 images, and an auxiliary image takes 20% of the space of a primary image. This five to one size ratio allows the camera to store 16 primary images and 16 auxiliary images. When the 17th primary image must be stored, the space taken up by 5 auxiliary images must be reclaimed.

25

Various approaches may be used in selecting which of the auxiliary images is to be reclaimed. The approach may be as simple as sequential reuse, where auxiliary images are reclaimed in first in, first out order. This scheme has the effect of preserving the higher quality of the last images in a set. A sequential reuse approach reclaiming auxiliary images last to first has the more intuitive result of retaining higher image quality in the first pictures taken. This may be implemented as shown in FIG. 1 by simply storing the primary images sequentially from the beginning of the camera memory, and storing the auxiliary images working back from the end of the camera memory, filling the memory from both ends. Once the camera memory is full, storage of primary images continues sequentially, reclaiming auxiliary images.

30

Another approach is to reclaim the least valuable auxiliary data set first, by associating a quality metric with each pair of primary and auxiliary data sets, and reclaiming the auxiliary image that adds the least additional information to its associated primary image.

5

The foregoing detailed description of the present invention is provided for the purpose of illustration and is not intended to be exhaustive or to limit the invention to the precise embodiments disclosed. Accordingly the scope of the present invention is defined by the appended claims.

Attorney Docket No. 10970294

CLAIMS

What is claimed is:

- 5 1. A method of processing images in a digital camera comprising the steps of:
inputting a raw image,
generating from the raw image a first compressed image data set suitable for
reproducing the image at a first quality level, and
generating from the raw image a second compressed image data set which
10 when combined with the first compressed image data set reproduces the image
at a second, higher quality level.

- 15 2. The method of Claim 1 where the step of generating a first compressed image
data set comprises JPEG compression.

- 20 3. A method of processing images in a digital camera having an image storage
device, comprising the steps of:
Inputting a raw image,
generating from the raw image a first compressed image data set suitable for
reproducing the image at a first quality level,
generating from the raw image a second compressed image data set which
25 when combined with the first compressed image data set reproduces the image
at a second, higher quality level,
storing the first compressed image data set in the image storage device, and
storing the second compressed image data set in the image storage device if
30 space is available.

4. The method of Claim 3 where the steps of generating the first and second
compressed image data sets are performed by one quantizer.

5. The method of Claim 3 where the steps of generating the first and second
compressed image data sets are performed by first and second quantizers.

6. A method of processing images in a digital camera having an image storage device for storing primary and secondary compressed image data sets, comprising the steps of:
- Inputting a raw image,
- 5 generating from the raw image a first compressed image data set suitable for reproducing the image at a first quality level,
- generating from the raw image a second compressed image data set which when combined with the first compressed image data set reproduces the image at a second, higher quality level,
- 10 if insufficient space is available in the image storage device to store the first compressed image data set, releasing space in the image storage device occupied by second compressed image data sets,
- storing the first compressed image data set in the image storage device, and storing the second compressed image data set in the image storage device if
- 15 space is available.
7. The method of claim 6 where the method of releasing space in the image storage device occupied by second compressed image data sets releases space on a first in first out order.
- 20 8. The method of claim 6 where the method of releasing space in the image storage device occupied by second compressed image data sets releases space on a last in first out order.
- 25 9. The method of claim 6 where each secondary compressed image data set has associated with it an image quality metric and the method of releasing space in the image storage device occupied by second compressed image data sets releases space lowest image quality first.

ABSTRACT

Opportunistic image quality improvement in a digital camera. In a digital camera having a picture memory of fixed size for storing a predetermined number of pictures, raw image data is compressed to form primary and auxiliary data sets. The primary data set allows the image to be reconstructed at a first quality level. The primary and auxiliary data sets combined allow the reconstruction of the image at a higher quality level. As images are taken and processed, if sufficient storage space exists in the picture memory, both primary and auxiliary data sets are stored. This continues until the memory is full of primary and auxiliary data sets. Once the memory is full, as more images are taken and stored, the space used by auxiliary data sets is reclaimed for use by primary data sets, and the auxiliary data sets are not stored.

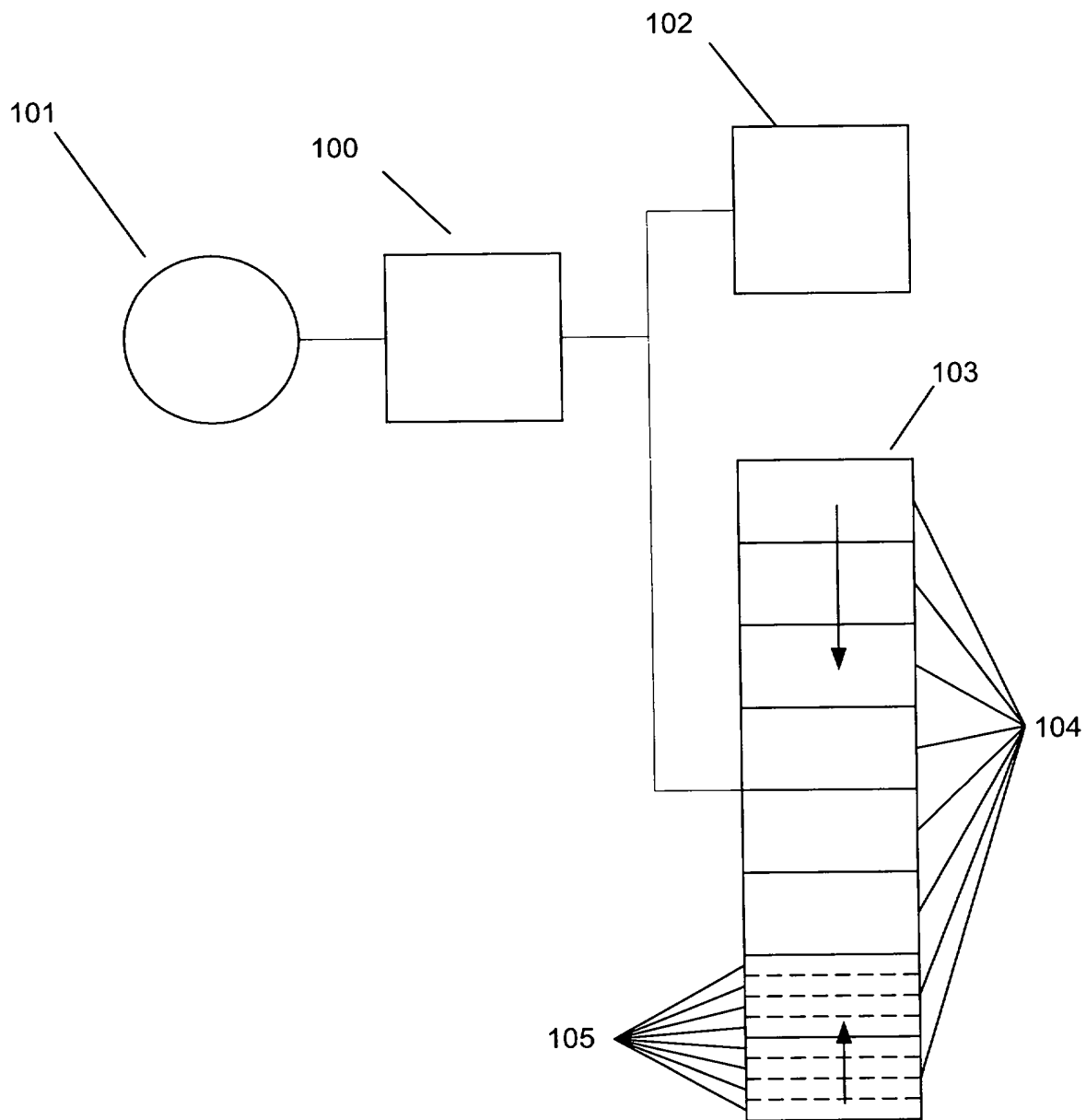


Fig 1

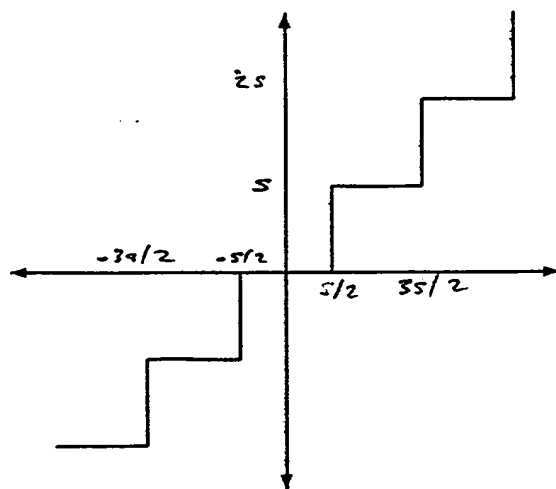


Fig 2

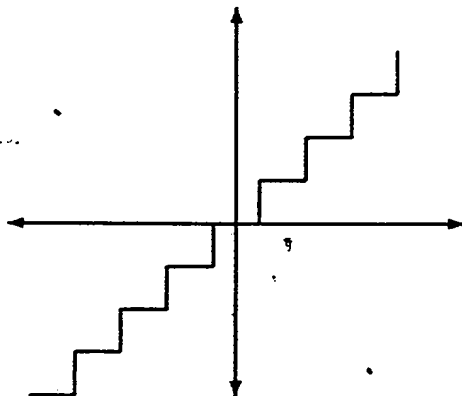


Fig 3

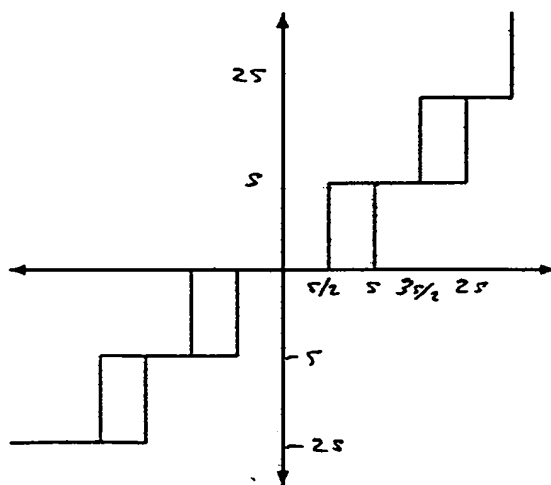


Fig 4

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**
ATTORNEY DOCKET NO. 10970294-1

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPPORTUNISTIC IMPROVEMENT OF DIGITAL CAMERA IMAGE QUALITY

the specification of which is attached hereto unless the following box is checked:

() was filed on _____ as US Application Serial No. or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: _____ NO: _____
			YES: _____ NO: _____

Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE

U. S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Robert T. Martin	Herbert R. Schulze	Marc P. Schuyler	Marc R. Mayer
Reg. No. 32,426	Reg. No. 30,682	Reg. No. 35,675	Reg. No. 36,211

Send Correspondence to:
IP Administration
Legal Department, 20BN
HEWLETT-PACKARD COMPANY
P.O. Box 10301
Palo Alto, California 94303-0890

Direct Telephone Calls To:

Robert T. Martin
650-857-7533

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Cormac Herley Citizenship: Ireland

Residence: 24420 Big Basin Way, Los Gatos, California 95033

Post Office Address: Same as Residence

Inventor's Signature [Signature]

Date 2/20/98